

ACCOUNTING AND FINANCIAL REPORTING COUNCIL (“AFRC”)

Application as a Registered PIE Auditor

Name of CPA firm: XXX CPA & Co. (XXX 會計師事務所)

Registration number: 9000

To apply for registration of a CPA firm as a Registered PIE Auditor, the sole practitioner / senior partner of the CPA firm is required to submit the Registered PIE Auditor Application along with relevant supporting documents and payment to the AFRC within six months from the application creation date.

The requirements and procedures for the registration as a registered PIE auditor are set out in the AFRC’s [“Guide for the Registration of PIE Auditors”](#) (“Guide”). Please read the Guide before completing and submitting this application.

While you may delegate another individual to complete the application and upload supporting documents on your behalf, it is the responsibility of the sole practitioner / senior partner of the CPA firm to submit the application online. Accordingly, the sole practitioner / senior partner of the CPA firm is required to **login with his / her CPA (practising) login ID / password** before submission of the application online.

By pressing the “**Continue**” below, I, for and on behalf of the CPA firm, understand that this application would be deemed unsuccessful if we fail to complete this online application within six months from the application creation date, i.e. [Today].

By pressing the “**CONFIRM and SUBMIT Application**” at the end of this application, the sole practitioner / senior partner of the CPA firm indicated that the information provided in this application is true and correct to the best of his / her knowledge and belief, and he / she will take full responsibility of the details of the application.

The AFRC may verify or audit any of the information provided in this application form, whether before or after a decision on the application is made.

Personal Data (Privacy) Ordinance: The information requested in this application may include personal data as defined in the Personal Data (Privacy) Ordinance (Cap. 486). Please refer to the [“Personal Information Collection Statement”](#) which sets out the policies and practices of the AFRC with regard to any personal data provided.

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A. Nominated Responsible Persons, i.e. Engagement Partner(s) (EP), Engagement Quality Control Reviewer(s) (EQCR) and Quality Control System Responsible Person(s) (QCSR), who are current sole practitioner, partners or authorized signatories of the CPA firm

The sole practitioner, each partner or each authorized signatory of the CPA Firm can be nominated to register as an EP (if he/she is a CPA (practising)), EQCR and/or QCSR (if he/she is the sole practitioner or a practising partner), and is required to complete and sign a [“Fit and Proper Declaration Form”](#) (Form F&P).

Key: PP = Practising Partner; NP = Non-Practising Partner; AS = Authorized Signatory

Name	PC no.	HKICPA Membership no.	Current status: PP/NP/AS	Proposed for registration as:			Fit and Proper Declaration Form
				EP	EQCR	QCSR	
<i>(Please put a “✓” in the appropriate boxes)</i>							
Chan Tai Man	P50000	A90000	PP	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Wong Tai Man	P50001	A90001	PP	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Lee Tai Man	-	A90002	NP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

B. Nominated Engagement Quality Control Reviewer(s) (EQCR) who are not the sole practitioner, partners or authorized signatories of the CPA firm

You, for and on behalf of the CPA firm, can nominate a qualified person to register as an EQCR who is required to complete and sign a [“Fit and Proper Declaration Form”](#) (Form F&P). If you are the sole practitioner of the CPA firm, you must nominate a qualified person other than yourself to register as an EQCR. Where the nominated EQCR is not a CPA (practising) registered with the AFRC, a completed and signed [“Personal Details Form”](#) (Form PIE-EQCR) with necessary supporting documents are required.

Name	PC no. <i>(if applicable)</i>	Name of parent institute	HKID / Passport no.	Fit and Proper Declaration Form	Personal Details Form
Cheung Tai Man	P50002	-	-	<input checked="" type="checkbox"/>	-
Ho Tai Man	-	HKICPA	Z333333(0)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

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C. Contact details of personnel handling this application for and on behalf of the PIE applicant (OPTIONAL):

Full name in BLOCK letters:

Job Title:

Telephone:

Fax:

Email:

D. Declaration

I, Chan Tai Man (P50000), as the sole practitioner / senior partner of XXX CPA & CO. (the “CPA Firm”) and not anybody else, am responsible for the completion and submission of this application for registration as a Registered PIE Auditor online for and on behalf of the CPA Firm. I understand that I must not delegate it to another individual to perform on my behalf.

I hereby, for and on behalf of the practice unit, whose partners or members/directors are named in this application:

- declare that the nominated Engagement Quality Control Reviewer(s) possesses the required competence and capabilities and appropriate authority to enable him or her to perform the role with the *Hong Kong Standard on Quality Management 2 Engagement Quality Reviews* issued by the Hong Kong Institute of Certified Public Accountants (“HKICPA”);
- declare that the nominated Quality Control System Responsible Person(s) is the Chief Executive Officer or a member of the managing board of partners of my/our practice;
- declare that all information provided in this application is true and complete to the best of my knowledge and belief;
- undertake to comply with the requirements specified in Chapters 5 and 7 of the [“Guide for the Registration of PIE Auditors”](#); and
- waive all claims against the AFRC for any loss or damage my/our practice may suffer arising from this application.